General information about company						
Scrip code	531637					
Name of the company	SWORD AND SHIELD PHARMA LIMITED					
Whether company is SME	No					
Class of Security	Equity Shares					
Type of report	Quarterly					
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2016					
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date						
Shareholding pattern filed under	Regulation 31 (1) (b)					

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	Yes
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has issued any Warrants?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

	Table I - Summary Statement holding of specified securities										
	Category	Nos. Of	No. of fully paid	No. Of Partly	No. Of shares	Total nos.	Shareholding as a % of total no. of	Number of selass of se			eld in each
Category (I)	of shareholder	shareholder shareholders up equity paid-up underlying held (VII) shares (calculated pareholder) held (vII) shares	No of Vot	ting (XI	V) Rights	Total as a					
(1)	shares held (IV) shares held (V) Receipts (VI) $= (IV) + (V) + (V) = (IV) + (V) + (V) + (V) = (IV) + (V) + (V)$	1957) (VIII) As a	Class eg: X	Class eg:y	Total	% of (A+B+C)					
(A)	Promoter & Promoter Group	2	340000	0		340000	6.6	340000		340000	8.15
(B)	Public	1342	3833234	977366		4810600	93.4	3833234		3833234	91.85
(C)	Non Promoter- Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	1344	4173234	977366		5150600		4173234		4173234	100

	Table I - Summary Statement holding of specified securities									
Category	Category	of Underlying	No. of Shares Underlying	No. Of Shares Underlying Outstanding convertible	Shareholding, as a % assuming full conversion of convertible securities (	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares
(I)	shareholder (II)	Outstanding convertible securities (X)	Outstanding Warrants (Xi)	securities and No. Of Warrants (Xi) (a)	as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	dematerialized form (XIV)
(A)	Promoter & Promoter Group				6.6					217400
(B)	Public				93.4					2793400
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total									3010800

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			No. of	No. Of	No. Of	Total nos.	Shareholding as a % of total	Number o each class			ld in
Sr.	Category & Name of the	Nos. Of shareholders	fully paid up equity	Partly paid-up equity	shares underlying Depository	shares held (VII) =	no. of shares (calculated as per SCRR,	No of Voti	ing (XIV	V) Rights	Total as a % of
	Shareholders (I)	(III)	shares held (IV)	shares held (V)	Receipts (VI)	(IV)+ (V)+ (VI)	1957) (VIII) As a % of (A+B+C2)	Class eg: X	Class eg:y	Total	Total Voting rights
A	Table II - Statement	t showing share	eholding pa	ttern of the	e Promoter ar	nd Promote	r Group				
(1)	Indian										
(a)	Individuals/Hindu undivided Family	2	340000	0		340000	6.6	340000		340000	8.15
Sub-Total (A)(1)		2	340000	0		340000	6.6	340000		340000	8.15
(2)	Foreign										•
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		2	340000	0		340000	6.6	340000		340000	8.15
В	Table III - Statemer	ble III - Statement showing shareholding pattern of the Public shareholder									
(1)	Institutions	Institutions									
(f)	Financial Institutions/ Banks	1	7400	0		7400	0.14	7400		7400	0.18
Sub-Total (B)(1)		1	7400	0		7400	0.14	7400		7400	0.18
(3)	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1256	1282565	815900		2098465	40.74	1282565		1282565	30.73
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	27	2007379	161466		2168845	42.11	2007379		2007379	48.1
(e)	Any Other (specify)	58	535890	0		535890	10.4	535890		535890	12.84
Sub-Total (B)(3)		1341	3825834	977366		4803200	93.26	3825834		3825834	91.68
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)		1342	3833234	977366		4810600	93.4	3833234		3833234	91.85
С	Table IV - Statemer	nt showing shar	reholding p	attern of tl	ne Non Promo	oter- Non P	ublic shareholde	r			
Total ( A+B+C2)		1344	4173234	977366		5150600	100	4173234		4173234	100
Total (A+B+C)		1344	4173234	977366		5150600		4173234		4173234	100

Ta	able II - Stat	tement show	ving shareholdi	ng pattern of the Promo	ter a	nd Pro	mot	er Grou	ир	
C.	No. Of Shares Underlying Underly		es Outstanding	Shareholding, as a % assuming full conversion of convertible securities (as a	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		equity shares	
Sr.	Outstanding convertible securities (X)	Outstanding Warrants (Xi)	convertible securities and No. Of Warrants (Xi) (a)	percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	held in dematerialized form (XIV)	
A	Table II - Stat	ement showing	g shareholding patte	ern of the Promoter and Promot	er Gr	oup				
(1)	Indian									
(a)				6.6					217400	
Sub-Total (A) (1)				6.6					217400	
(2)	Foreign	•	•			•				
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				6.6					217400	
В	Table III - Sta	tement showin	g shareholding patt	ern of the Public shareholder				•		
(1)	Institutions									
(f)				0.14					7400	
Sub-Total (B) (1)				0.14					7400	
(3)	Non-institutio	ons								
(a(i))				40.74					1282565	
(a(ii))				42.11					967545	
(e)				10.4					535890	
Sub-Total (B) (3)				93.26					2786000	
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)				93.4					2793400	
С	Table IV - Sta	itement showir	g shareholding patt	tern of the Non Promoter- Non	Publi	c shareho	older			
Total ( A+B+C2 )				100					3010800	
Total (A+B+C									3010800	

	Individuals/Hi	indu undivided Family	
Searial No.	1	2	
Name of the Shareholders (I)	PRAVINBHAI MANEKLAL PATEL	JYOTSNABEN PRAVINBHAI PATEL	Click here to go back
PAN (II)	ADRPP2767P	ADRPP2766N	Total
No. of fully paid up equity shares held (IV)	229400	110600	340000
No. Of Partly paid- up equity shares held (V)	0	0	0
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	229400	110600	340000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.45	2.15	6.6
Number of Voting	Rights held in each class of securities (IX)		
Class eg:X	229400	110600	340000
Class eg:y			
Total	229400	110600	340000
Total as a % of Total Voting rights	5.5	2.65	8.15
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	4.45	2.15	6.6
Number of Locked	in shares (XII)		
No. (a)			
As a % of total Shares held (b)			
	pledged or otherwise encumbered (XIII)		
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	116000	101400	217400
Reason for not prov	viding PAN		
Reason for not providing PAN			

In	dividuals - ii.	Individual sha	areholders ho	lding nominal sh	nare capital in	excess of Rs. 2	2 lakhs.
Searial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	KAMLESH JAIN	RAMESHBHAI PATEL	JAYANTILAL PATEL	ATMARAMBHAI PATEL		RAMESHBHAI PATEL	AKHANI JAGDISHKUMAR AMRUTLAL
PAN (II)	ABLPJ9546N	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	AETPA5632H
No. of fully paid up equity shares held (IV)	270007	198000	198000	198000	198000	196000	149300
No. Of Partly paid-up equity shares held (V)	0	0	0	0	0	0	0
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	270007	198000	198000	198000	198000	196000	149300
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	5.24	3.84	3.84	3.84	3.84	3.81	2.9
Number of Vot	ing Rights held	in each class of sec	curities (IX)				
Class eg: X	270007	198000	198000	198000	198000	196000	149300
Class eg:y							
Total	270007	198000	198000	198000	198000	196000	149300
Total as a % of Total Voting rights	6.47	4.74	4.74	4.74	4.74	4.7	3.58
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	5.24	3.84	3.84	3.84	3.84	3.81	2.9
` ′	cked in shares (X	KII)	I	I	<u>I</u>	<u>I</u>	<u>I</u>
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	270007	0	0	0	0	0	149300
Reason for not	providing PAN		·			·	
Reason for not providing PAN		Textual Information(1)	Textual Information(2)	Textual Information(3)	Textual Information(4)	Textual Information(5)	

C '1N		9	10	11	
Searial No.	8		10	11	ar i i
Name of the Shareholders (I)	BHANUPRASAD PATEL	KALPESH J. THAKKAR	UMAKANT SULTANIA	JYOTI JAIN	Click here to go back
AN (II)	ZZZZZ9999Z	ACNPT1875B	AASPS1558F	AGYPJ0552G	Total
No. of fully paid up equity shares neld (IV)	89000	60088	57055	52969	1666419
No. Of Partly paid- up equity shares neld (V)	0	0	0	0	0
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	89000	60088	57055	52969	1666419
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.73	1.17	1.11	1.03	32.35
Number of Voting	Rights held in each class of	securities (IX)			
Class eg: X	89000	60088	57055	52969	1666419
Class eg:y					
Гotal	89000	60088	57055	52969	1666419
Γotal as a % of Γotal Voting rights	2.13	1.44	1.37	1.27	39.93
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.73	1.17	1.11	1.03	32.35
Number of Locked	in shares (XII)				
No. (a)					
As a % of total Shares held (b)					
Number of equity hares held in lematerialized form (XIV)	0	60088	57055	52969	589419
Reason for not pro	viding PAN		1		
Reason for not providing PAN	Textual Information(6)				
	l	1	l .	<u> </u>	<u> </u>

Text Block						
Textual Information(1)	Not Available in Records					
Textual Information(2)	Not Available in Records					
Textual Information(3)	Not Available in Records					
Textual Information(4)	Not Available in Records					
Textual Information(5)	Not Available in Records					
Textual Information(6)	Not Available in Records					

		Any Other (specify)		
Searial No.	1	2	3	
Category	Bodies Corporate	Foreign Individuals or NRI	HUF	
Category / More than 1 percentage	Category	Category	Category	
Name of the Shareholders (I)				Click here to go back
PAN (II)				Total
No. of the Shareholders (I)	38	1	19	58
No. of fully paid up equity shares held (IV)	478151	10000	47739	535890
No. Of Partly paid- up equity shares held (V)	0	0	0	0
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	478151	10000	47739	535890
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.28	0.19	0.93	10.4
Number of Voting	Rights held in each class of	securities (IX)	_	
Class eg: X	478151	10000	47739	535890
Class eg:y				
Total	478151	10000	47739	535890
Total as a % of Total Voting rights	11.46	0.24	1.14	12.84
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	9.28	0.19	0.93	10.4
Number of Locked	in shares (XII)			
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	478151	10000	47739	535890
Reason for not pro-	viding PAN			
Reason for not providing PAN				